# **HealthAffairs**

At the Intersection of Health, Health Care and Policy

Cite this article as: Alexander K. Smith, Ellen McCarthy, Ellen Weber, Irena Stijacic Cenzer, John Boscardin, Jonathan Fisher and Kenneth Covinsky Half Of Older Americans Seen In Emergency Department In Last Month Of Life; Most Admitted To Hospital, And Many Die There Health Affairs, 31, no.6 (2012):1277-1285

doi: 10.1377/hlthaff.2011.0922

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## Half Of Older Americans Seen In Emergency Department In Last Month Of Life; Most Admitted To Hospital, And Many Die There

ABSTRACT Emergency department use contributes to high end-of-life costs and is potentially burdensome for patients and family members. We examined emergency department use in the last months of life for patients age sixty-five or older who died while enrolled in a longitudinal study of older adults in the period 1992–2006. We found that 51 percent of the 4,518 decedents visited the emergency department in the last month of life, and 75 percent in the last six months of life. Repeat visits were common. A total of 77 percent of the patients seen in the emergency department in the last month of life were admitted to the hospital, and 68 percent of those who were admitted died there. In contrast, patients who enrolled in hospice at least one month before death rarely visited the emergency department in the last month of life. Policies that encourage the preparation of patients and families for death and early enrollment in hospice may prevent emergency department visits at the end of life.

mergency departments are not designed to provide end-of-life care and in many ways are poorly suited to doing so. Yet they are visited with surprising frequency by severely ill patients whose deaths are approaching.<sup>1</sup> The often overcrowded and seemingly chaotic nature of the emergency department may add to the stress that patients and their families feel.

Most people say they prefer to receive end-oflife care at home.<sup>2,3</sup> But pain, worsening symptoms, or other urgent needs may force an emergency department visit. In such cases, patients often arrive in the emergency department acutely ill, with their care plan uncertain and their families deeply anxious at the approach of a dreaded event.<sup>1,3,4</sup>

Emergency department care is expensive, and it is a major component of escalating costs of care at the end of life.<sup>5</sup> Most patients who are hospitalized at that point are admitted through the emergency department, and it is there that care pathways are often determined, including the balance between palliative and life-sustaining treatments.<sup>6,7</sup>

We used a nationally representative data set linked to Medicare claims data to study emergency department use by older adults at the end of life. The objective of this study was to use these data to describe the prevalence and frequency of, and factors associated with, emergency department use in the last months of life, as well as care following the visit, including hospitalization and death in the hospital.

#### Study Data And Methods

**SETTING AND PARTICIPANTS** The Health and Retirement Study was designed to examine changes in health and wealth as people age.<sup>8</sup> It provided a data set that enabled us to assess patient characteristics and health status as well as familyDOI: 10.1377/hlthaff.2011.0922 HEALTH AFFAIRS 31, NO. 6 (2012): 1277-1285 ©2012 Project HOPE— The People-to-People Health Foundation, Inc.

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level end-of-life concerns that can be linked to dving patients' emergency department visits.

Health and Retirement Study participants are more than fifty years old and living in the community at the time of enrollment in the study, which began in 1992. Participants are interviewed every two years following enrollment. Additional participants are added every six years so that the study remains representative of the US population over fifty. Follow-up rates are very high (84-93 percent), and date of death is determined for 99 percent of participants using the National Death Index, a centralized record of death certificate information maintained by the National Center for Health Statistics.<sup>9</sup>

The study's interviews are conducted over the phone. For participants who are age eighty or older, are too sick to be interviewed by phone, or do not have access to a phone, interviews are conducted in person. If participants are too sick or cognitively unable to complete the interview, interviews are conducted with proxies. Interviews after death are conducted with participants' next of kin. Details of the sampling frame and complex survey design are available elsewhere.10

We linked Health and Retirement Study data to Medicare claims to ascertain emergency department use, using previously described methods.<sup>11</sup> Because the timing of death is often unpredictable, we examined the relationship between emergency department use and death in two directions.

For the first analysis, we included 8,338 participants age sixty-five or older who were continuously enrolled in Medicare fee-for-service Parts A and B from 1992 to 2006 and visited the emergency department. For these participants, we asked what percentage of older adults died within six months of visiting the emergency department.

For the second analysis, we focused on the subset of 4,585 participants who died, and for whom there were 4,158 next-of-kin interviews completed with the measures necessary for our analysis. For these participants, we asked what percentage of older adults who died had visited the emergency department in the last six months and the last month before death.

Finally, we matched each decedent participant to a Health and Retirement Study subject who was alive at the time the participant died, categorized by age group (65-74, 75-84, and 85 or older) and sex. This allowed us to compare decedents' and nondecedents' rates of emergency department use.

This study was approved by the Institutional Review Board of the University of California, San Francisco.

**MEASURES** We used Medicare claims to measure emergency department use, hospitalization, and intensive care unit use.<sup>12</sup> We examined factors that might be correlated with emergency department use in the last months of life, based on our clinical experience and review of the literature. Demographic factors included age, sex, race or ethnicity, and net worth.

Clinical factors were drawn from Health and Retirement Study interviews with next of kin conducted after the subject's death. Next of kin were asked to describe the participant's clinical condition during the last three months life. Factors included the presence or absence of four chronic conditions (cancer, lung disease, stroke, and heart condition), need for help in activities of daily living, cognitive impairment, and the presence of moderate or severe pain.

Health system factors included census region, urban versus rural residence, hospice use prior to the last month of life (hereafter referred to as "early hospice use"), nursing home residence, and year of death. We examined what we categorized as "anticipatory/preparatory" factors-for example, whether the subject's next of kin reported that the death was expected or unexpected at the time it occurred and whether or not there was an advance directive.

**STATISTICAL ANALYSIS** First, using the sample of 10,364 patients (both living and deceased), we calculated the percentage of emergency department visits by patients who died within six months of the visit.

The remainder of our analysis focused on the 4,158 decedents. We began by determining the proportion of these older people who visited the emergency department in the last six months and in the last month of life.

To understand which factors were independently associated with emergency department use by participants in the last month of life, we created a multivariable model adjusted for the demographic and clinical factors described above. The results of the multivariable logistic regression are presented as probabilities of emergency department use across different levels for each predictor of interest adjusted for age, sex, race or ethnicity, net worth, chronic conditions, physical dependency, cognitive impairment, and pain. We present time trends in emergency department use in the last month of life adjusted for variations in age of the Health and Retirement Study decedent sample across years and increasing rates of early hospice use (Appendix Exhibit 1).<sup>13</sup>

We examined care patterns following emergency department visits in the last month of life. Specifically, we examined hospitalization following the emergency department visit, inten-

sive care unit use, and location of death.

The Health and Retirement Study purposely oversamples certain key subpopulations and also carefully tracks nonresponse rates by subpopulation. To produce nationally representative statistical estimates and to attach correct standard errors to these estimates, we performed a survey-weighted analysis using weights provided by the Health and Retirement Study.<sup>14,15</sup> The statistical analyses were performed using the statistical software Stata, version 10.1, and the statistical analysis software SAS, version 9.2.

LIMITATIONS We were unable to discern the specific reason for emergency department visits. A diagnostic code for congestive heart failure, for example, is not particularly informative as to the reason for the emergency department visit, such as shortness of breath, or the reasons that led to that condition, such as difficulty contacting an outpatient provider, lack of access to medications for symptom relief, or a family that was unprepared to manage end-of-life symptoms. Similarly, we could not definitively state that certain emergency department visits were avoidable. Finally, although our findings suggest that changes over time have been modest, the latest available Medicare claims data files are from 2006, and practice may have changed since that time.

#### **Study Results**

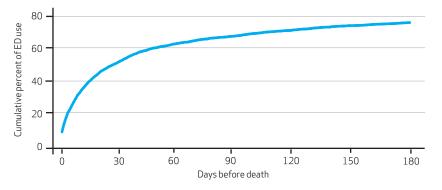
In this nationally representative study of older adults, 8,338 participants visited the emergency department. Of the total, about one out of every seven emergency department visits, or 15 percent, were made by a patient who died in the six months after that visit. Among the oldest participants (those over age eighty-four), the proportion was 24 percent, or about one out of four. Among the 4,158 participants who died, 75 percent transited through the emergency department in the last six months of life (Exhibit 1); half did so in the last month of life.

The rate of emergency department use in the last month of life was much higher than the rate among participants who were matched by age and sex to the subject and who were alive at the time the subject died. In the matched group, only 4 percent visited the emergency department in a one-month time period.

Focusing on decedents, we found that the mean age of the 4,158 participants who had died was eighty-three (standard deviation: eight), and 47 percent were women (Exhibit 2). Among the decedents, the burden of chronic conditions, functional dependency, and cognitive impairment was high: The mean number of chronic

#### EXHIBIT 1

Cumulative Incidence Of Emergency Department (ED) Visits During The Last Six Months Of Life For Decedents In The Health And Retirement Study, 1992–2006



**SOURCE** Authors' analysis of the Health and Retirement Study data linked to Medicare claims. **NOTE** Of the 4,158 decedents, 9 percent visited the emergency department on the last day of life, 51 percent had visited it within thirty days before death, and 75 percent had visited within 180 days before death.

#### EXHIBIT 2

#### Characteristics Of Decedents In The Health and Retirement Study, 1992-2006

<b>Characteristic</b> Net worth <sup>a</sup> Female	<b>Median/percent</b> \$79,000 47%			
AGE (YEARS)				
65-74 75-84 85 or older	19% 40 41			
or older41cc/ETHNICITY87%nite87%or older9tino3her1RONIC CONDITIONIncer31%ng disease25art condition57				
White African American Latino Other	9 3			
CHRONIC CONDITION				
Cancer Lung disease Heart condition Stroke	25 57			
STATUS, LAST THREE MONTHS OF LIFE				
Three or more ADL dependencies <sup>ь</sup> Cognitive impairment Moderate or severe pain	67% 43 46			
HEALTH SYSTEM FACTORS				
Early hospice use <sup>c</sup> Nursing home residence	9% 36			
COMMUNICATION FACTORS				
Death expected Advance care plan	61% 45			

**SOURCE** Authors' analysis of the Health and Retirement Study data linked to Medicare claims. **NOTES** N = 4,158. Percentages are weighted to reflect national estimates. <sup>a</sup>Net worth includes housing and nonhousing assets. Interquartile range is \$10,000-\$214,000. <sup>b</sup>ADL is activities of daily living, such as getting into and out of bed, walking across the room, eating, dressing, bathing, and using the toilet. <sup>c</sup>Hospice use at least one month before death.

diseases was 1.4 (out of 4); and 77 percent of patients were dependent in at least one activity of daily living, while 67 percent were dependent in three or more (data not shown). In addition, more than one-third were cognitively impaired, experienced moderate or severe pain, and resided in a nursing home (Exhibit 2). The top three primary diagnoses for emergency department visits in the last six months of life were congestive heart failure (8.0 percent of visits), pneumonia (6.6 percent), and acute stroke (4.9 percent) (see Appendix Exhibit 2 for the rest of the top ten primary diagnoses).<sup>13</sup>

Repeated visits were common. In fact, 41 percent of the 4,158 participants who died had made more than one visit to the emergency department in the last six months of life, and 12 percent had gone more than once in the last month of life (data not shown).

Hospitalization also was common following an emergency department visit toward the end of life. Among the 2,157 participants who visited the emergency department in the last month of life, 77 percent were subsequently hospitalized. Of those who were hospitalized, 39 percent were admitted to an intensive care unit, and 68 percent died in the hospital (Appendix Exhibit 3).<sup>13</sup>

Early hospice use and death in the home, nursing home, or other setting outside the hospital was more common among participants who did not visit the emergency department in the last month of life (Appendix Exhibit 3).<sup>13</sup>

Exhibit 3 shows emergency department use in the last month of life by various characteristics, after adjustment for demographic and clinical factors. For example, patients who were African American or Latino were more likely to visit the emergency department than white patients. (for a complete list of factors, see Appendix Exhibit 4).<sup>13</sup> After adjustment, patients who experienced moderate or severe pain were 4 percent more likely to visit the emergency department in the last month of life than patients who had less pain. Having an advance directive had little effect after adjustment. Differences by race or ethnicity, pain, and advance-directive completion were modest in comparison to those between patients who did and did not enroll in hospice early.

The rise in emergency department use between 1994 and 2006 was marginally significant in analyses adjusted for age (p for trend = 0.048) (Appendix Exhibit 1).<sup>13</sup> Sample sizes of decedents for the years 1992 and 1993 were too small to generate reliable estimates. However, when we adjusted for early use of hospice, there was a modest increase in emergency department use over time (p for trend < 0.001). This suggests that a rise in early use of hospice (5 percent in 1994; 15 percent in 2006) may have blunted what would have otherwise been a greater increase in emergency department use over time (Appendix Exhibit 1).<sup>13</sup>

#### Discussion

HIGH RATES OF EMERGENCY DEPARTMENT USE As noted above, 75 percent of the decedents in our study transited through the emergency department in the last six months of life, and half did so in the last month of life. Yet we also found substantial variation in emergency department use in the last month of life by age, race or ethnicity, illness burden, functional dependency, cognitive impairment, pain, region, year of death, and whether or not death was expected. Early enrollment in hospice was by far the strongest predictor of emergency department use or lack thereof. Specifically, emergency department use was relatively rare among people enrolled in hospice at least one month before death.

**IMPROVING THE QUALITY OF OUTPATIENT CARE** These high rates of emergency department use in the last months of life suggest opportunities for improvement in the outpatient setting. As was the case in our sample, the last months of life for older adults are often characterized not by sudden death, but by chronic illness, pain, functional decline, and cognitive impairment.<sup>16,17</sup> Many health problems and symptoms in late life are predictable, and some visits to the emergency department could potentially be avoided with access to high-quality outpatient care.<sup>18,19</sup>

Most people prefer to die at home, and rates of end-of-life hospitalization are unlikely to decrease without reducing rates of emergency department use. The emergency department is seldom the best place for discussions about the goals of care.

Primary providers can plan for the eventuality of death by preparing patients and families for end-of-life symptoms, engaging in discussions about goals of care, arranging treatment that matches the patient's wishes, and documenting preferences in ways that will be accessible to emergency department providers.<sup>20-24</sup> To this end, recent policy initiatives, such as those passed in 2008 in California<sup>25</sup> and 2010 in New York<sup>26</sup> that require physician disclosure of prognosis, may reduce costly and potentially burdensome use of the emergency department at the end of life.

**FEDERAL INITIATIVES** At the federal level, legislation that would have provided reimbursement under Medicare for physicians to address end-of-life planning was stripped from national health reform amid a furor over so-called death panels. In our study, advance directives were not asso-

#### EXHIBIT 3

#### Characteristics Associated With Emergency Department (ED) Use During The Last Month Of Life, 1992-2006

	Visited ED last	95% Cl,		Visited ED last	95% Cl,	_
Characteristic	month of life, unadjusted	unadjusted	p value	month of life, adjusted <sup>a</sup>	adjusted®	p value
NET WORTH <sup>b</sup>						
>\$79,000	51%	48, 53	c	51%	49, 54	c
SEX						
Male	53	51, 55	c	52	49, 55	c
AGE						
65-74	56	52, 60	c	56	52, 60	c
85 or older	47	44, 50	0.003	48	44, 52	0.011
RACE/ETHNICITY						
White	50	48, 53	c	51	49, 53	c
African American	56	52, 61	0.008	56	52, 60	0.038
Latino	62	55, 69	0.003	61	53, 68	0.02
CHRONIC CONDITIONS						
No cancer	54	51, 57	c	54	52, 57	c
Cancer	46	43, 49	< 0.001	46	43, 49	< 0.001
No lung disease	51	48, 53	c	51	49, 54	c
No heart condition	48	46, 51	c	49	46, 51	c
Heart condition No stroke	54 51	51, 56	0.001 c	54	51, 57	0.001 c
		49, 53	<u> </u>	51	49, 53	t
ADL DEPENDENCY, LAS		50.67	c.	62	50.66	6
0	63	59, 67	c	62	58, 66	c
1-2 2 or more	71 44	67, 75	0.012 < 0.001	70 45	66, 74	0.008
3 or more		42, 47	< 0.001	45	42, 48	< 0.001
	T, LAST 3 MONTHS OF LIFE	F2 F0	c	54	F1 F7	c
No Yes	56 46	53, 58 43, 49	< 0.001	54 49	51, 57 46, 52	0.018
	PAIN, LAST 3 MONTHS OF LIFE	-5, -5	< 0.001		40, 52	0.010
No	51	48, 53	c	50	47, 53	c
Yes	52	50, 55	0.31	54	51, 57	0.038
HEALTH SYSTEM FACTO		50, 55	0.51	51	51, 57	0.050
Census region	<b>J</b> R <b>J</b>					
South	54	50, 58	c	54	50, 58	c
West	45	42, 49	0.001	46	40, 51	0.012
Urban/rural		,			, .	
Urban	50	48, 51	c	50	48, 52	C
Rural	54	49, 59	0.082	55	50, 60	0.05
Early hospice use <sup>d</sup>						
No	56	53, 58	c	55	53, 58	c
Yes	10	6, 13	< 0.001	11	8, 15	< 0.001
Nursing home			c	50		c
No	55	53, 57	c	53	50, 55	c
	ORS					
Death expected	60		c	50		c
No	60	57,62	c	56	53, 59	c
Yes	46	43, 49	< 0.001	49	46, 52	0.006
Advance care plan No	55	52, 58	c	55	52, 58	c
INU		JC, JC	_		JZ, JO	

**SOURCE** Authors' analysis of the Health and Retirement Study data linked to Medicare claims. **NOTES** N = 4, 158. Only results that were significantly different from reference categories at the 0.05 level when adjusted are shown. Percentages were weighted to reflect national estimates. For an unabridged version of this exhibit and for a complete list of factors associated with ED use, see Appendix Exhibit 4 (see Note 13 in text). Cl is confidence interval. ADLs (activities of daily living) are explained in Exhibit 2. \*Adjusted for age, sex, race or ethnicity, net worth, presence of chronic conditions, physical dependency, cognitive impairment, and pain. Regarding missing data, fewer than 5 percent were missing for all variables except history of an advance care plan (19.2 percent missing). The question about presence of an advance care plan sincluded only the 3,494 participants who responded to this question. \*Net worth includes housing and nonhousing assets. Interquartile range is \$10,000-\$214,000. Reference. "Hospice use at least one month before death.

ciated with emergency department visits after adjustment. Advance care planning is much more than the advance directive document, however. It also includes the discussion of and planning and preparation for future events by patients, caregivers, and physicians. There is some evidence to suggest that such discussions have an effect on high-cost, high-intensity health services.<sup>27</sup>

The Medicare hospice benefit was recently criticized for spending increases primarily caused by increases in lengths-of-stay over the past decade.<sup>28,29</sup> However, these critiques do not account for the avoidance of costly acute care services by early enrollees in hospice.<sup>29</sup> In our study, early enrollment was associated with 80 percent less use of the emergency department in the last month of life and dramatically reduced rates of hospitalization and of death in the hospital, compared to the rates for patients who did not enroll early. Although hospice use at the end of life has increased over the past decade, most patients enroll in hospice late, less than a month before death.<sup>30</sup>

Many analysts have viewed this delayed entry into hospice as a problem in the quality of end-of-life care.<sup>30,31</sup> In fact, the type of care that patients receive in hospice—such as symptom control, family support, and discussion of preferences—are of benefit long before the final days of life.

The Medicare hospice benefit is available to all adults age sixty-five or older, and rising rates of early hospice use are encouraging. Yet we found that only 9 percent of the older adults in our study who died had enrolled in hospice before the last month of life. Policy initiatives should be directed toward increasing early hospice enrollment among elderly patients. Strong consideration should be given to removing from the Medicare hospice benefit the requirement of a prognosis of six months or less to live, basing eligibility and reimbursement instead on need for hospice services.<sup>32</sup>

**THE ROLE OF PALLIATIVE CARE** Part of the Affordable Care Act of 2010 directs support to chronically ill elderly people in the outpatient setting, avoiding high-cost repeat emergency department visits and hospital readmissions. Potential avenues for supporting chronically ill elderly people on an outpatient basis include promoting early hospice use and mandating that inpatient and outpatient palliative care services are incorporated into accountable care organizations.<sup>33,34</sup>

Palliative care is focused on improving quality of life for patients with serious illness. Its major areas of expertise include pain and symptom management and communication about goals of care. Palliative care is ideally initiated at the time of diagnosis of advanced heart disease, dementia, cancer, or other serious conditions and can be delivered concurrently with life-prolonging care. Specialized palliative care is delivered by interdisciplinary palliative care teams. Hospice, in contrast, is a specific palliative service and Medicare benefit for patients with a prognosis of six months or less.

Early enrollment in outpatient palliative care services has shown great promise in improving the quality of life for patients with serious illness, but access to these services remains limited.<sup>19,20,35</sup> Prognosis is inherently challenging, and even when prognosis is limited, some patients may elect not to enroll in hospice early. Our research suggests that many of these patients will transit through the emergency department at the end of life, and palliative care needs to be integrated into emergency services.

The majority of palliative care in emergency departments, however, is delivered not by palliative care specialists but by emergency department doctors, nurses, and social workers.<sup>21</sup> Emergency departments should be supported in their growing efforts to improve palliative care for patients, such as the well-respected Education on Palliative and End-of-Life Care Project curriculum, newly developed for training emergency medicine professionals.<sup>36</sup> The American Board of Emergency Medicine is one of eleven specialty boards that cosponsors palliative medicine as a recognized subspecialty.<sup>37</sup>

In qualitative research, emergency providers and terminally ill patients and their caregivers suggested a change in emergency care, recognizing that the goals of patients near the end of life often do not fit well within the traditional emergency department model <sup>1,3,38,39</sup> Some providers suggested that emergency protocols could be modified by creating an explicit triage category of supportive care focused on symptom stabilization. Structural barriers to change need to be overcome, including a pervading fear of litigation among emergency physicians, logistical hurdles to emergency providers' rapid coordination of home or hospice services with outpatient clinicians, and a general lack of access to palliative medicine consultation services in the emergency department, particularly at night and on weekends.<sup>3,39,40</sup>

#### Conclusion

Emergency department visits are common at the end of life, and a substantial minority of all visits to the emergency department by older adults are made by patients who will die within six months of the visit. For patients whose terminal trajectories are clear, we can do better in the outpatient setting.<sup>22-24</sup> Outpatient providers can help prepare families for the eventuality of death, including by giving them early referrals to hospice and, where available, outpatient palliative care services. Policies that require physicians to disclose a terminal prognosis and that provide reimbursement for advance care planning should be encouraged. pected and emergency department visits are unavoidable.<sup>41</sup> Therefore, emergency departments should be supported in their efforts to incorporate palliative and end-of-life care principles into their practices. Ultimately clinicians and policy makers need to work together to ensure high-quality care experiences for patients and families seen in the emergency department during a vulnerable time.

For other older adults, serious illness is unex-

Early versions of this article were presented in oral abstract form at the annual meetings of the Society of General Internal Medicine in Minneapolis, Minnesota, April 29, 2010, and the American Geriatrics Society in Orlando, Florida, May 13, 2010. Alexander Smith was supported by career development grants from the National Palliative Care Research Center and the National Center for Research Resources UCSF-CTSI (UL1 RR024131). Kenneth Covinsky was supported by a K-24 grant from the National Institute on Aging (K24AG029812).

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In this month's Health Affairs, Alexander Smith and coauthors report on their examination of emergency department use for patients age sixty-five and older in the last month of life. They found more than half of a sample of such patients did have an emergency department visit. Of that number, three-quarters were admitted to the hospital. Given a marked contrast with patients referred to hospicealmost none of whom had an emergency department visit—the authors suggest policies to better prepare patients and families for death, including referral to hospice.

Smith is an assistant professor of medicine in the Division of Geriatrics at the University of California, San Francisco (UCSF). His research focuses on issues at the intersection of ethics, geriatrics, and palliative medicine, which he explores on the blog http://www.GeriPal.org.

Smith has a medical degree from UCSF and a master's degree in public health from Harvard University. He completed his internal medicine residency and palliative medicine fellowship at the Brigham and Women's Hospital and received research training in general medicine at Beth Israel Deaconess Medical Center under the mentorship of Ellen McCarthy.



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