Behavioral Health Care in Virginia: Mental Health, Mental Retardation & Substance Abuse Treatment and Prevention

James C. May, Ph.D.
October 5, 2009
Substance Abuse Services Director,
Richmond Behavioral Health Authority

Objectives for Today

- Broad overview of the nature and focus of public sector behavioral health care
- Brief overview of the disorders most typically seen in behavioral health care settings
- Engage in some discussion of “big picture” issues
- Review some of the recent changes in our system of care and the headlines that created the impetus for same

Important Categories Of Mental Illness:

- Psychotic disorders
- Mood disorders
- Personality disorders
- Anxiety disorders

Brief Overview of Psychiatric Disorders

Psychotic Disorders:

- Disturbances in thinking, perception, communication, and behavior
- Usually first observed during adolescence or early adulthood
- Chronic, variable course
- Most common is schizophrenia

Psychosis

- Refers to the degree of severity of symptoms, not to a specific psychiatric disorder
- Thinking is so impaired that it interferes with ability to meet the ordinary demands of life
### Two Types Of Psychotic Symptoms:

- **Delusion** - *false belief* that an individual holds in spite of logical proof to the contrary - interferes with social adjustment

- **Hallucination** - *false perception*; a sensation of sight, hearing, smell, or taste that has no real world stimulus to cause it

### Mood Disorders

- Disturbances of a person's mood which are *not due to alcohol or drugs, physical illness, or other types of mental illness*

- Two extreme abnormalities of mood – depression and mania – exist on either end of the continuum of the two basic, normal moods of sad and happy

### Mood Disorders Are Classified Into Two Categories:

- Bipolar disorders (manic depression) are shown by distinct manic episodes that occur with or without the presence or history of depression.

- (Unipolar) Depressive disorders involve depression symptoms only, not manic symptoms.

### Manic Episode

A distinct period of abnormally and persistently elevated, expansive, or irritated mood that is severe enough to cause marked impairment in occupational, social, or interpersonal functioning

### Depressive Symptoms

*Where does depression hurt?*

May appear in emotional, cognitive, motivational, and physical ways including dejected mood, negative feelings toward self, withdrawal, crying, lack of energy, sleep and appetite disturbances

### Personality Disorders

Enduring patterns of inner experience and behavior that:

- **deviate markedly** from the expectations of the individual's culture
- **are pervasive and inflexible**
- often recognized in adolescence or early adulthood
- **are stable over time**
- **lead to distress or impairment**
Personality Disorders Are Clustered Into Three Areas:

- **Odd or eccentric features** (paranoid, schizoid, schizotypal)
- **Dramatic/emotionally erratic features** (antisocial, borderline, narcissistic, histrionic)
- **Significant features of anxiety** (avoidant, dependent, obsessive–compulsive)

Antisocial Personality Disorder

- A pervasive pattern of **disregard for**, and **violation of, the rights of others**
- Deceit and **manipulation** are central features
- Criminal justice staff might be more familiar with the related terms of "criminal thinking", "psychopathy" or "sociopathy"

Borderline Personality Disorder

- A pattern of **instability in interpersonal relationships**, shifting self–image and emotions, and **frequent impulsive actions**
- Impulsivity, difficulty tolerating boredom, and inappropriate anger combine to create situations that arouse the attention of law enforcement

Anxiety Disorders

- **Anxiety**: sensations of nervousness, tension, apprehension, and fear that come from the anticipation of danger, which may be internal or external
- **Panic attack**: distinct period of intense fear or discomfort that develops abruptly, usually peaking within a few minutes or less
- **Phobias**: the focus of anxiety is a person, thing or situation that is dreaded, feared, and probably avoided

Substance Abuse

- **Substance Use Disorders**:
  - Abuse
  - Dependence
- **Substance–Induced Disorders**: intoxication, withdrawal, and clinical syndromes caused by substances
Substance Abuse

- A maladaptive pattern of substance use shown by recurrent and significant negative consequences related to the repeated use of substances
- Unlike Substance Dependence, it does not include tolerance, withdrawal, or a pattern of compulsive use
- Could be any level of use coupled with problems experienced as a result of same

Substance Dependence

- A cluster of cognitive, behavioral, and physiological symptoms indicating that the individual continues use of the substance despite significant substance-related problems
- An often progressive pattern of repeated self-administration that usually results in tolerance, withdrawal, and compulsive drug-taking behavior

Tolerance and Withdrawal Vary Across Substances

- Tolerance: need for increasing doses of a substance to maintain its effects
- Withdrawal: physical and psychological effects that occur when use of drug is significantly decreased or stopped
- There is a craving for the drug when one is abstinent and these symptoms are relieved when the drug is taken again

Remission:

- Early (at least one month) or sustained (at least one year) depending on how long ago the remission began
- Partial or full depending upon how complete the remission is
- Individuals typically return to some intermittent pattern of use after they attempt to establish abstinence.

Why is Prevention Important?

Importance of Prevention

Early onset of drug use (by age 15 or 16) is among the best predictors of abuse in young adulthood and dependence throughout adulthood

AND...

Substance abuse treatment programs:
- Are burdened by high demand
- Can be expensive
- Often experience high rates of recidivism
Importance of Prevention

- Nearly half (46%) of American youth have tried cigarettes by 12th grade
- 22% of 12 graders are current smokers
- Even among 8th graders, 22% have tried cigarettes and 1 in 14 (7%) has already become a current smoker

Importance of Prevention

- Nearly half (47%) of American youth have tried an illicit drug by the end of high school
- Approximately 1 in 5 (19%) high school seniors reported using marijuana in the past 12 months (2007)

Intellectual Disability or Mental Retardation

**Old Definition:** I. Q. of less than 70 (100 is theoretical average)

**New Definition:** Measured on three axes – (a) “sub-average I.Q.”, plus (b) some “functional limitation(s)”, plus © age of onset prior to age 18.

Either way, psychological testing is/was required for diagnosis

Services for People with Intellectual Disabilities

**Old Approach:** Institutional care for a lifetime; person was “managed and cared for in either state facilities, or, for very wealth Americans, private institutions designed to accomplish the same thing.

**New Approach:** Treatment or services provided in the least restrictive environment, preferably the home community.

Services for People with Intellectual Disabilities

- Advocacy for de-institutionalization has been very strong among a very vocal parental advocacy base (social determinant of helping behavior);
- However, parents of those with Mental Retardation are actually split into two opposing camps – a minority support facility-based care and support state institutions; others vehemently opposed to it.
Funding for Services for People with Intellectual Disabilities

**Old Approach:** State general funding, plus whatever else the family could afford to contribute to improve the amount, level or quality of care.

**New Approach:** Rehabilitation services funded largely by States’ Medicaid Waivers; this involves state funds used to draw down additional federal dollars.

Funding for Services for People with Intellectual Disabilities

**Providers of Medicaid Waiver Services for people with MR in Virginia have not had rate increases for many years.**

**Current federal budget discussions involve block granting Medicaid to states in return for capping the total federal expenditure. This could cripple waiver programs in many states including Virginia.**

Special Considerations with Behavioral Disorders

**Special Considerations with Behavioral Disorders**

**What Do the Terms “Dual Diagnosis” or “Co-Occurring Disorders” Mean?**

Usually, the presence of any two of the following classes of disorders:

- Substance abuse or dependence
- A major mental disorder, usually Major Depression, Bipolar Disorder, or Schizophrenia
- Mental Retardation

Criminal Justice Populations:

Rates of both substance abuse and mental illness disorders are higher in the criminal justice populations than in the population at large

Core Features Of Relapse Prevention:

- Psychoeducation
- Identifying high risk situations and warning signs
- Development of coping skills
- Development of new lifestyle behaviors
- Increasing self–efficacy
- Drug and alcohol monitoring
Follow the Money

FOLLOW THE MONEY $$$:
Funding for Community Behavioral Health Care

FY 2006 Final Operating Appropriation
$35.7 Billion

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 2006 Appropriation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other State Agencies</td>
<td>$8.6</td>
</tr>
<tr>
<td>Health and Human Services</td>
<td>$26.3</td>
</tr>
<tr>
<td>DMHMRASAS</td>
<td>$0.8</td>
</tr>
</tbody>
</table>

DBHDS (formerly DMHMRASAS)
Expenditures
FY 06 ($830.6 Million)

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 06 Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mental Health</td>
<td>$81.8</td>
</tr>
<tr>
<td>Mental Retardation</td>
<td>$29.5</td>
</tr>
<tr>
<td>Substance Abuse</td>
<td>$20.5</td>
</tr>
<tr>
<td>Central Office</td>
<td>$298.6</td>
</tr>
<tr>
<td>State Facilities</td>
<td>$460.7</td>
</tr>
</tbody>
</table>

DMHMRASAS Program Expenditures
FY 06 ($830.6 Million)

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 06 Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mental Health</td>
<td>$81.8</td>
</tr>
<tr>
<td>Mental Retardation</td>
<td>$29.5</td>
</tr>
<tr>
<td>Substance Abuse</td>
<td>$20.5</td>
</tr>
<tr>
<td>Central Office</td>
<td>$298.6</td>
</tr>
<tr>
<td>State Facilities</td>
<td>$460.7</td>
</tr>
</tbody>
</table>

Total Services System Funding
FY 06 ($1.644 Billion)

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 06 Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSBs</td>
<td>$559.7</td>
</tr>
<tr>
<td>State Facilities</td>
<td>$1,055.1</td>
</tr>
<tr>
<td>Central Office</td>
<td>$29.0</td>
</tr>
</tbody>
</table>

*Dollars Above Are in Millions
### Total Services System Funding
FY ’06 ($1.644 Billion)

<table>
<thead>
<tr>
<th>Funding Source</th>
<th>$ Millions</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSB Medicaid</td>
<td>534.8</td>
<td>33</td>
</tr>
<tr>
<td>Facility/CO General Fund</td>
<td>300.7</td>
<td>18</td>
</tr>
<tr>
<td>Facility Medicaid/care</td>
<td>263.3</td>
<td>16</td>
</tr>
<tr>
<td>CSB Gen Fund</td>
<td>181.7</td>
<td>11</td>
</tr>
<tr>
<td>CSB Local Govt.</td>
<td>196.2</td>
<td>12</td>
</tr>
<tr>
<td>Other (Fees/Insurance)</td>
<td>99.6</td>
<td>6</td>
</tr>
<tr>
<td>Federal Grants</td>
<td>68.5</td>
<td>4</td>
</tr>
<tr>
<td>Total</td>
<td>$1,644.8</td>
<td>100</td>
</tr>
</tbody>
</table>

### State MH Expenditure in Facility vs. Community

- Traditionally, VA has bucked national trends by putting more emphasis on state inpatient psychiatric services than on community services.
- The neglect is beginning to show (NAMI State Rankings, 2006)

### State Facilities

- DBHDS requires CSBs to deliver community services, but in FY 2003 alone, $12.5 million was cut from their budgets.
- Elimination or consolidation of services and staff.
- System Strain= long waiting lists for services.

### Community Services

- DBHDS requires CSBs to deliver community services, but in FY 2003 alone, $12.5 million was cut from their budgets.
- Elimination or consolidation of services and staff.
- System Strain= long waiting lists for services.

### CSB Mental Health Waiting List Count
2005

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults with Serious Mental Illnesses</td>
<td>4,365</td>
</tr>
<tr>
<td>Children &amp; Adolescents With or At Risk of Serious Emotional Disturbance</td>
<td>2,002</td>
</tr>
<tr>
<td>Total MH</td>
<td>6,367</td>
</tr>
</tbody>
</table>

Source: Virginia Department of Mental Health, Mental Retardation and Substance Abuse Services. Comprehensive State Plan, January to April 2005.
State Ranking: National Alliance on Mental Illness (NAMI)

Report Card

<table>
<thead>
<tr>
<th>Category Grades</th>
<th>Overall Grade: D</th>
</tr>
</thead>
<tbody>
<tr>
<td>Infrastructure</td>
<td>F</td>
</tr>
<tr>
<td>Information Access</td>
<td>F</td>
</tr>
<tr>
<td>Services</td>
<td>D+</td>
</tr>
<tr>
<td>Recovery Supports</td>
<td>D+</td>
</tr>
</tbody>
</table>

Survey of the 40 CSBs in June 2007 found that Virginians who seek outpatient services at local CSBs have long waits.

CSB Average Wait Time for Outpatient Services (days)

<table>
<thead>
<tr>
<th></th>
<th>Adults</th>
<th>Children</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outpatient appointment</td>
<td>30.22</td>
<td>37.42</td>
</tr>
<tr>
<td>Outpatient – post emergency</td>
<td>13.54</td>
<td>16.50</td>
</tr>
<tr>
<td>Psychiatrist appointment</td>
<td>28.16</td>
<td>30.36</td>
</tr>
<tr>
<td>Psychiatrist – post emergency</td>
<td>13.54</td>
<td>15.46</td>
</tr>
</tbody>
</table>

The Context of Law Reform

- High profile violence
- Too few services
- Highly variable local practices
- Criminalization of persons with MI
- Family & consumer experiences (e.g., suicide)
- Stigma
The Challenge of Law Reform

- Two ways to address people who don’t seek treatment.
  1) Coerce people into treatment by expanding coercive treatment laws.
  2) Induce more people to seek treatment voluntarily by offering better services.
- Our reform effort cannot just be about making our coercive treatment laws “better”. It must also be about reducing the need to use these laws.

New Commitment Standard

- New criteria are considered broader, so
  - More people eligible? Probably
  - More people detained & committed? Maybe
  - More people in MOT? Probably
- Clearer criteria will increase consistent application statewide
- New CSB services should mitigate new demand

Other New Legal Terms

- In new criteria - “Substantial likelihood”, “Near future”, “Serious harm”, etc.
- “Material noncompliance” – a combination of clinical and circumstantial factors, but may be different for each case
- These terms are untested/undefined in practice
- Communication among partners is needed for a common understanding of these terms, and to use them effectively

New Disclosure Provisions

- Explicit authorizations in law to disclose key information to other partners
- Disclosure provisions will promote more effective service delivery and coordination of care, enhance safety of individuals receiving services as well as providers

New Mandatory Outpatient Treatment (MOT) Requirements

- CSBs develop initial & comprehensive MOT plans, deliver MOT services, and
- Monitor compliance, respond to non-compliance, report to court, and
- Provide transportation in some cases
- MOT provisions create workable outpatient commitment process
- New resources will address some new requirements for CSBs

Key Concerns: Legal Clarity

Involuntary temporary detention may be issued according to VA law if the person:

- Has a mental illness
- Presents an imminent danger to himself or others as a result of mental illness, or is so seriously mentally ill as to be substantially unable to care for himself
- Is in need of hospitalization or treatment
- Is unwilling/incapable to volunteer for hospitalization or treatment
**Legal Barrier Example**
- Tragedy at Virginia Tech on April 16, 2007
- When law and mental illness intersect…
- Identify people who need treatment for mental illness in order to assess if they pose a danger…BUT What if they don’t want treatment?

**Relevant System Goals for the Future**
- Provide quality services closer to where people live.
- Expand services available in the community, while maintaining state facility services as an essential component of the services system.

**Relevant System Goals for the Future**
- Develop more state, regional, and local partnerships among CSBs, state facilities, consumer and family organizations, private providers, and the state MHMRSAS Department.
- Facilitate local & regional collaborative management and “shared ownership” of state facility and community inpatient services

**Long-Term System Restructuring**

**System Challenges**
- Developing sufficient community capacity to restructure local systems of care and address growing community need in a chronically under-funded system.
- Responding to the needs of specific and distinct populations, particularly children and adolescents, forensics, geriatrics, mental retardation, and substance abuse.
- Continuing uncertainty about the availability of local acute psychiatric beds across the Commonwealth.
- Developing innovative new service models such crisis stabilization to address treatment needs in the community.

**Key Concepts in a Period of Transformation: RECOVERY**
- Has become a popular concept in guiding system reform
  - President’s New Freedom Commission Final Report
  - SAMHSA vision
  - Commonwealth of Virginia DMHMRSAS Strategic Plan
Involve consumers and families fully in orienting the mental health system toward recovery.

Vision Statement:
“We envision a future when everyone with a mental illness will recover…”

What is Recovery?
A Conceptual Model
Jacobson and Greenley; Psych Services; April 2001

- Internal Conditions
  - Attitudes, experiences and processes of change of individuals who are recovering
  - Hope – belief that recovery is possible
  - Healing – control, and define self apart from the illness
  - Empowerment – autonomy, courage, and responsibility
  - Connection

- External Conditions
  - Circumstances, events, policies and practices that may facilitate recovery
  - Human Rights
  - A positive culture of healing
  - Recovery-oriented services

Implications for Providers
(Torrey and Wyzik, Comm. Mental Health Journal, April 2002
The Recovery Vision as a Service Improvement Guide)

- People with psychotic illnesses and other severe mental illnesses have written about their life experiences
- Customer feedback is an essential ingredient of healthcare quality improvement
- Consumer’s insights should be valuable to providers who wish to improve services

Recovery Vision Implementation:
(Torrey and Wyzik)

- Promoting Hopefulness
  - The restoration of morale

- Supporting consumers' efforts to take personal responsibility for their health

- Helping Consumers develop broad lives that are not illness-dominated

Process of Recovery
Recent Trends in Public Sector Behavioral Health Care: Disaster Preparedness

- RESPONSE TO TERRORIST ATTACKS
- PREVENTION OR REDUCTION OF PSYCHIATRIC INJURIES IN MASS DISASTERS/TRAGEDIES IS POSSIBLE.
- TRAINING AND PREPARATION ARE KEY.

Recent Examples of Disasters
- Traumatic Wars (Defeat, purposelessness, societal polarization) e.g. US in Iraq
- Genocides (Rwanda genocide 1994)
- Acts of Nature & Accidents (Katrina; Chernobyl reactor meltdown)
- Loss of National Leaders (Kennedy)
- Military or Terrorist Strikes such as recent events – “9/11”

Acute Stress Disorder
- Three of the following: numbing, detachment, absence of emotions, reduction in awareness, derealization, depersonalization, amnesia
- One of the following: recurrent images or thoughts, dreams, nightmares, flashbacks
- Avoidance of reminders
- Anxiety, insomnia, irritability, hypervigilance, startle reflex, restlessness
- 2 days-4 weeks duration within 4 weeks of event.

Post-Traumatic Stress Disorder
- If symptoms persist more than one month
- Can be delayed in onset—6 months or more
- Can be chronic—duration >3 months
- Additional symptoms include: intense stress from reminders, loss of interest in activities, isolation from others, loss of emotions, loss of sense of future; occupational/social dysfunction.

Increased Risk for Other Illness
- People exposed to trauma are at higher risk for:
  - Major Depression
  - Panic Disorder
  - Generalized anxiety disorder
  - Substance Use Disorders
  - HTN, asthma, chronic pain
Epidemiology of PTSD

- 5-6% of men and 10-14% of women have had PTSD at some time in their lives.
- 4th most common psychiatric illness
- PTSD can develop in someone without any history of psychiatric problems.
- 55% chance of PTSD from rape; 7.5% chance from accident

Prediction and Prognosis

- Nearly everyone has some degree of acute stress disorder some time in their life but recover rapidly.
- Based on data from the Oklahoma City Bombing in 1995, 35% of those directly exposed to the September 11 attacks will develop PTSD: 100,000 x .35 = 35,000 cases

Recovery from PTSD

- 26% resolve within 6 months
- 40% resolve within 12 months
- Females recover much slower than males.

PTSD Among Iraq Veterans

- Approximately 17% of soldiers and Marines who returned from Iraq screened positive for PTSD, anxiety, or depression
- Post-deployment prevalence twice that observed before deployment

Service Utilization by Iraq Veterans (Survey conducted 2003-2004)

- 19% of service members returning from Iraq reported a mental health problem
- 35% of returning vets accessed mental health services within 1 year of returning home
- 12% were diagnosed with mental health problem
- More than 50% referred, received follow-up services

Prevention

- At least four major reviews in 2002 of so-called “psychological debriefing” found no evidence that debriefing prevents or reduces the severity of PTSD.
- Meta-analysis of incident stress debriefing studies (Lancet 2002) found debriefing does not improve natural recovery from trauma.
### Treatment
- Various forms of psychotherapy
- Medications: antidepressants, mood stabilizers, anti-psychotics
- Combinations of psychotherapy and medications

### Psychological Preparation
- Experiences in many disasters and military experiences have shown that the most important method of preventing psychiatric casualties is…..(do you know?)

### Disaster Training
- Persons with disaster training feel a greater sense of control during the disaster.
- Greater control during disasters reduces the risk of acute stress disorder and PTSD.
- Training reduces the fear of the unknown, invisible nature of chemicals, infectious agents and radiation.